

Practical Secure Aggregation for Privacy-Preserving Machine Learning

3.3, 3.5 and 3.6

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2020.7.29.

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Introduction

To protect user's private information from adversaries, we need **encryption** algorithms.

The secure protocol of this paper contains two encryption algorithms; **Authenticated Encryption** and **Signature Scheme**.

Key concepts

Encryption is the process of encoding information or sensitive data so only authorized parties can access it.

Here, we take a look at the definition and configuration of them.

In fact, **the standard algorithms** which are guaranteed internationally are used to construct this protocol.

Notations

k : the security parameter of the scheme (a key size in bits)

m : a message (plaintext)

M : an adversary

c : a key $\in \{0, 1\}^k$

d^{PK}, d^{SK} : public key and secret key

σ : a signature

3.3 Authenticated Encryption

AE is a symmetric encryption that satisfies confidentiality (by IND-CPA) and integrity (by INT-CTXT).

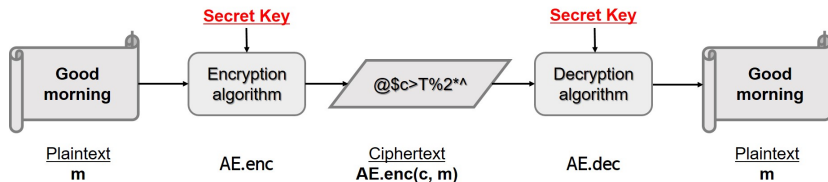
Symmetric encryption is a triple of three algorithms.

$$\mathbf{AE} = (\mathcal{K}, \mathbf{AE.enc}(), \mathbf{AE.dec}())$$

1. A key generation algorithm \mathcal{K} returns a secret key c .
2. An encryption algorithm $\mathbf{AE.enc}$ returns a ciphertext e .
 $\mathbf{AE.enc}(c, m) \rightarrow e$
3. An decryption algorithm $\mathbf{AE.dec}$
 $\mathbf{AE.dec}(c, e) \rightarrow m \in \mathcal{M} \cup \{\perp\}$ (an error symbol)
where \mathcal{M} is a message space.

3.3 Authenticated Encryption

Authenticated Encryption



3.3 Authenticated Encryption

(1) Correctness

$$\mathbf{AE.dec}(c, \mathbf{AE.enc}(c, m)) = m$$

$\forall c \in \{0, 1\}^k$ and $\forall m$.

3.3 Authenticated Encryption

(2) Security

“Authenticated” Encryption requires two security properties :

Confidentiality and **Integrity**

3.3 Authenticated Encryption

confidentiality

IND-CPA (Indistinguishability under Chosen Plaintext Attack)

Consider a probabilistic game (Challenger vs. Adversary)

- (i) generate $c \leftarrow \mathcal{K}$ (challenger)
- (ii) M chooses and sends m_0 and m_1 to the challenger.
- (iii) $e \leftarrow \mathbf{AE.enc}(c, m_b)$, $b = 0$ or 1 (randomly chosen by the challenger)
- (iv) M can query on chosen plaintext m_i to the challenger, and obtains $e_i = \mathbf{AE.enc}(c, m_i)$
- (v) M guesses b' to be as $b' = b$, for $b \in \{0, 1\}$

For any PPT adversary M ,

$$\Pr(M \text{ wins}) = \text{Adv}_{\mathbf{AE}, M}(k) \leq \frac{1}{2} + \epsilon(k)$$

3.3 Authenticated Encryption

integrity

INT-CTXT (Indistinguishability under Ciphertext Integrity)

Consider a probabilistic game (Challenger vs. Adversary)

(i) generate $c \leftarrow \mathcal{K}$

(ii) M can query on chosen messages m_i to the challenger and obtain $e_i \leftarrow \mathbf{AE.enc}(c, m_i)$

(iii) If $\mathbf{AE.dec}(c, e') \rightarrow m'$ for new m' and e' ,

Here, “new” means m' and e' have never been queried at (ii).

If $m' \neq \perp$, then M wins.

For any PPT adversary M ,

$$\Pr(M \text{ wins}) = \text{Adv}_{\mathbf{AE}, M}(k) \leq \epsilon(k)$$

for some negligible function $\epsilon(\cdot)$.

3.3 Authenticated Encryption

Advanced Encryption Standard (AES) is the standard encryption algorithm established by U.S. NIST in 2001.

It is included in ISO/IEC standard.

This paper used **AES-GCM** (Authenticated Encryption Standard Galois/Counter Mode) with 128-bit keys;

All user-to-user messages are authenticated through this AE scheme.

3.5 Signature Scheme

Signature Scheme is a mathematical technique to validate the authenticity and integrity of a message. It provides the assurances of **evidence of origin** and identity.

It is based on the asymmetric encryption algorithms.

3.5 Signature Scheme

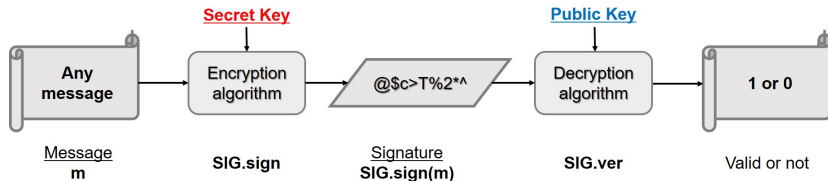
Signature Scheme is a triple of three algorithms.

$$\mathbf{SIG} = (\mathbf{SIG.gen}, \mathbf{SIG.sign}, \mathbf{SIG.ver})$$

1. A generation algorithm **SIG.gen** generates a secret key d^{SK} and a public key d^{PK} . (Here, k is the length of these keys.)
 $\mathbf{SIG.gen}(k) \rightarrow (d^{PK}, d^{SK})$
2. A signing algorithm **SIG.sign** outputs a signature.
 $\mathbf{SIG.sign}(d^{SK}, m) \rightarrow \sigma$
3. A verification algorithm **SIG.ver**(d^{PK}, m, σ) returns 0 or 1.
 $\mathbf{SIG.ver}(d^{PK}, m, \sigma) \rightarrow \begin{cases} 0 & \text{if } \sigma \text{ is invalid signature for } m. \\ 1 & \text{if } \sigma \text{ is valid signature for } m. \end{cases}$

3.5 Signature Scheme

Signature Scheme



3.5 Signature Scheme

(1) Correctness

$$\Pr(\mathbf{SIG.ver}(d^{PK}, m, \sigma) = 1) = 1, \forall m$$

where $(d^{PK}, d^{SK}) \leftarrow \mathbf{SIG.gen}(k)$ and $\sigma \leftarrow \mathbf{SIG.sign}(d^{SK}, m)$

3.5 Signature Scheme

(2) Security :

UF-CMA (Unforgeability under chosen-message attack)

Consider a probabilistic game (Challenger vs. Adversary)

(i) $(d^{PK}, d^{SK}) \leftarrow \mathbf{SIG.gen}(k)$

(ii) M can query on chosen messages m_i and obtain

$\sigma_i \leftarrow \mathbf{SIG.sign}(d^{SK}, m_i)$

(iii) M wins iff $\mathbf{SIG.ver}(d^{PK}, m', \sigma') = 1$ for new m' and σ'

Here, “new” means m' and σ' have never been queried at (ii).

For any PPT adversary M ,

$$Pr(M \text{ wins}) = Adv_{\mathbf{SIG}, M}(k) \leq \epsilon(k)$$

for some negligible function $\epsilon(\cdot)$.

3.6 Public-Key Infrastructure

A public key infrastructure (PKI) is a set of roles, policies, hardware, software and procedures needed to create, manage, distribute, use, store and revoke digital certificates and manage public-key encryption.

We need public keys to construct a signature scheme, hence PKI is required also.